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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/087,466	03/01/2002	Alexander Olck	81659A	6657

7590 01/03/2006

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EXAMINER
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BRUSCA, JOHN S

ART UNIT	PAPER NUMBER
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1631

DATE MAILED: 01/03/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

## Office Action Summary

Application No.

10/087,466

Applicant(s)

OLEK ET AL.

Examiner

John S. Brusca

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --  
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 29 September 2005.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-12, 14-18, 20-23, 25, 26, 30-36 and 41 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-12, 14-18, 20-23, 25, 26, 30-36 and 41 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  
Paper No(s)/Mail Date \_\_\_\_\_
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: \_\_\_\_\_

## **DETAILED ACTION**

### ***Specification***

1. The objection to the specification in the Office action mailed 25 March 2005 is withdrawn in view of the amendment filed 29 September 2005.

### ***Claim Rejections - 35 USC § 112***

2. The rejection of claims 1-36 and 41 under 35 U.S.C. § 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention in the Office action mailed 25 March 2005 is withdrawn in view of the amendment filed 29 September 2005.

3. The following is a quotation of the second paragraph of 35 U.S.C. § 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

4. Claims 1-12, 14-18, 20-23, 25, 26, 30-36, and 41 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Claims 9, 16, 21, and 36 are indefinite for recitation of the phrase "suited automate." It is not clear what the phrase means because it has not generally recognized meaning and it is not defined in the specification. Although the specification provides examples of a suited automate on page 24 of the substitute specification filed 30 May 2002, a definition of the metes and bounds of the phrase is absent, rendering the phrase indefinite.

Claim 35 is indefinite because step d of claim 1 requires preceding step c for antecedent basis for a first knowledge base.

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Claims 1-12, 14-18, 20-23, 25, 26, 30-36, and 41 recite the limitation "the genes exhibiting a different level of cytosine methylation" in claim 1, step e. There is insufficient antecedent basis for this limitation in the claim.

***Claim Rejections - 35 USC § 102***

5. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

6. Claims 1-8, 10, 11, 14, 15, 17, 18, 20, 25, 26, 30, 31, and 41 are rejected under 35 U.S.C. 102(b) as being anticipated by Kikyo et al. in light of New England Biolabs and Siegfried et al.

The claims are drawn to a method of determining differential gene expression, and analyzing methylation states of the gene. In some embodiments the gene expression is determined from a biological tissue, gene expression of healthy and diseased individuals are compared, gene expression is determined by analysis of mRNA, differential display is used to compare gene expression, expression levels of 100 genes are analyzed, and the methylation state is measured enzymatically. Claim 41 is drawn to devices used in the method.

Kikyo et al. shows in the abstract and throughout a method of analysis of mouse embryo tissue for genes that are differentially expressed between normal embryos and abnormal embryos with chromosomal translocations. A differentially expressed neuronatin (Nnat) gene was shown to be imprinted by methylation analysis. Kikyo et al. shows on pages 68-69 differential display analysis of mRNA from the embryos, in which eighty primer pairs were use, and approximately

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80-100 bands per primer pair were observed. Ten differentially expressed bands corresponding to differentially expressed genes were observed. Two genes were identified as H19 and Nnat (see figures 1A and 1B). Kikyo et al. further noted on page 69 prior art that used subtraction hybridization to identify Nnat as a differentially expressed gene, and verified Nnat differential expression by a reverse transcriptase-polymerase chain reaction method (see figure 1C). Kikyo et al. subsequently analyzed the Nnat gene for methylation by digestion with a panel of restriction endonucleases Hind III, BssH II, Eag I, and Sac II (see figure 6).

The New England Biolab website establishes that BssH II, Eag I and Sac II enzymes are inhibited by methylation at CpG sites.

Siegfried et al. establishes on page R305 that CpG methylation is a term of art meaning that a cytosine is methylated.

### **Claims Rejected Under 35 U.S.C. § 103**

7. The rejection of claims 1-8, 19, and 20 under 35 U.S.C. § 103(a) as being unpatentable over Huang et al. in view of Duggan et al. in the Office action mailed 25 March 2005 is withdrawn in view of the arguments presented in pages 19-21 in the remarks filed 29 September 2005.

8. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

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9. This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

10. Claims 1, 22, 23, and 32-34 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kikyo et al. as evidenced by New England Biolabs and Siegfried et al.

The claims are drawn to repeating the method of claim 1 which is drawn to a method of determining differential gene expression, and analyzing methylation states of the gene.

Kikyo et al. shows in the abstract and throughout a method of analysis of mouse embryo tissue for genes that are differentially expressed between normal embryos and abnormal embryos with chromosomal translocations. A differentially expressed neuronatin (Nnat) gene was shown to be imprinted by methylation analysis. Kikyo et al. shows on pages 68-69 differential display analysis of mRNA from the embryos, in which eighty primer pairs were use, and approximately 80-100 bands per primer pair were observed. Ten differentially expressed bands corresponding to differentially expressed genes were observed. Two genes were identified as H19 and Nnat (see figures 1A and 1B). Kikyo et al. further noted on page 69 prior art that used subtraction hybridization to identify Nnat as a differentially expressed gene, and verified Nnat differential expression by a reverse transcriptase-polymerase chain reaction method (see figure 1C). Kikyo et

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al. subsequently analyzed the Nnat gene for methylation by digestion with a panel of restriction endonucleases Hind III, BssH II, Eag I, and Sac II (see figure 6).

The New England Biolab website establishes that BssH II , Eag I and Sac II enzymes are inhibited by methylation at CpG sites.

Siegfried et al. establishes on page R305 that CpG methylation is a term of art meaning that a cytosine is methylated.

Kikyo et al. does not show repetition of steps.

It would have been obvious to a person of ordinary skill in the art at the time the invention was made to repeat the steps of Kikyo et al. for the purpose of analysis of additional tissue and genes for determination of correlations between expression and methylation, as shown by Kikyo et al.

11. Claims 1 and 12 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kikyo et al. in view of Anderson et al.

The claims are drawn to the method of claim 1 which is drawn to a method of determining differential gene expression, and analyzing methylation states of the gene with the further limitation that both mRNA and protein levels are measured.

Kikyo et al. shows in the abstract and throughout a method of analysis of mouse embryo tissue for genes that are differentially expressed between normal embryos and abnormal embryos with chromosomal translocations. A differentially expressed neuronatin (Nnat) gene was shown to be imprinted by methylation analysis. Kikyo et al. shows on pages 68-69 differential display analysis of mRNA from the embryos, in which eighty primer pairs were use, and approximately 80-100 bands per primer pair were observed. Ten differentially expressed bands corresponding

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to differentially expressed genes were observed. Two genes were identified as H19 and Nnat (see figures 1A and 1B). Kikyo et al. further noted on page 69 prior art that used subtraction hybridization to identify Nnat as a differentially expressed gene, and verified Nnat differential expression by a reverse transcriptase-polymerase chain reaction method (see figure 1C). Kikyo et al. subsequently analyzed the Nnat gene for methylation by digestion with a panel of restriction endonucleases Hind III, BssH II, Eag I, and Sac II (see figure 6).

The New England Biolab website establishes that BssH II, Eag I and Sac II enzymes are inhibited by methylation at CpG sites.

Siegfried et al. establishes on page R305 that CpG methylation is a term of art meaning that a cytosine is methylated.

Kikyo et al. does not show measurement of protein levels.

Anderson et al. shows comparison of human liver gene expression by measurement of mRNA levels and corresponding protein levels (as measured by two-dimensional protein electrophoresis). Anderson et al. shows moderate levels of correlation between mRNA levels and protein levels in figures 1 and 2. Anderson et al. conclude on page 537 that determination of protein levels allows for a better understanding of multi-level gene expression control in complex organisms such as man.

It would have been obvious to a person of ordinary skill in the art at the time the invention was made to modify the method of Kikyo et al. by additional use of the protein analysis method of Anderson et al. because Anderson et al. shows that determination of correlations between mRNA and protein levels allows for better understanding of gene expression controls.



### *Conclusion*

12. Patent applicants with problems or questions regarding electronic images that can be viewed in the Patent Application Information Retrieval system (PAIR) can now contact the USPTO's Patent Electronic Business Center (Patent EBC) for assistance. Representatives are available to answer your questions daily from 6 am to midnight (EST). The toll free number is (866) 217-9197. When calling please have your application serial or patent number, the type of document you are having an image problem with, the number of pages and the specific nature of the problem. The Patent Electronic Business Center will notify applicants of the resolution of the problem within 5-7 business days. Applicants can also check PAIR to confirm that the problem has been corrected. The USPTO's Patent Electronic Business Center is a complete service center supporting all patent business on the Internet. The USPTO's PAIR system provides Internet-based access to patent application status and history information. It also enables applicants to view the scanned images of their own application file folder(s) as well as general patent information available to the public.

For all other customer support, please call the USPTO Call Center at (800) 786-9199. Any inquiry concerning this communication or earlier communications from the examiner should be directed to John S. Brusca whose telephone number is 571 272-0714. The examiner can normally be reached on M-F 8:30 AM - 5:00 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ardin Marschel, PhD. can be reached on 571 272-0718. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

*John S. Brusca 26 December 2015*

John S. Brusca  
Primary Examiner  
Art Unit 1631

jsb